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Dated: October 15, 2004

Signature

Joni Hell

Docket No.: 07200/056001

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Shu Ogawa et al.

Application No.: Not Yet Assigned

Filed: Concurrently Herewith

For: STAMPER PREFORM AND PRODUCTION

METHOD THEREOF, STAMPER AND PRODUCTION METHOD THEREOF, AND

**OPTICAL DISK** 

#3

Art Unit: N/A

Examiner: Not Yet Assigned

Confirmation No.: N/A

22511
PATENT TRADEMARK OFFICE

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

A copy of each reference on the PTO/SB/08 is attached.

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Application No.: Not

Assigned

A concise explanation of relevance of the items listed on form PTO/SB/08 is in

the form of an English language copy of a Search Report from a foreign patent office, issued in a

counterpart application, which refers to the relevant portions of the references.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure

Statement shall not be construed to mean that a search has been made or that no other material

information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing

of this Information Disclosure statement shall not be construed to be an admission that any

patent, publication or other information referred to therein is "prior art" for this invention unless

specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37

CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed,

asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in

this application by this firm) to our Deposit Account No. 50-0591, under Order No.

07200/056001. A duplicate copy of this paper is enclosed.

Dated: October 15, 2004

Respectfully submitted,

Honathan P. Osha

Registration No.: 33,986

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PTO/SB/08a/b (08-03)

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Su	bstitute for form 1449A/B/P	го		Complete if Known		
				Application Number	Not Yet Assigned	
	<b>NFORMATION</b>	N DIS	SCLOSURE	Filing Date	Concurrently Herewith	
l s	TATEMENT I	BY A	PPLICANT	First Named Inventor	Shu Ogawa	
				Art Unit	N/A	
	(Use as many sh	eets as	necessary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	2	Attorney Docket Number	07200/056001	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	2   MM DD VAAA		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
			-			

		FOREI	GN PATENT	DOCUMENTS		
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	T*
	BA	JP-7-057995	03-03-1995	Toshiba Corp.		
	BB	JP-5-107769	04-30-1993	Fujitsu Ltd.		
	ВС	JP-4-077746	03-11-1992	Sony Corp		
	BD	JP-8-305036	11-22-1996	Oki Electric Ind. Co. Ltd.		
	BE	JP-5-7066546	04-22-1982	Sanyo Electric Co. Ltd.		
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	BL	JP-5-323609	12-07-1993	Tokyo Ohka Kogyo Co. Ltd.		
	ВМ	JP-2001-109165	07-17-2002	Clariant Int. Ltd. (CH)		
	BN	JP-2001-291288	10-19-2001	Hitachi Ltd.		
	ВО	JP-2002-060641	02-26-2002	Shin Etsu Chem. Co. Ltd.		
	BP	JP-6-150391	05-31-1994	Matsushita Electric Ind. Co.		
	1			Ltd.		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
	CA	esp@cenet database Abstract - Japanese Patent Application No. 6-160391, published may 31, 1994				
	СВ	esp@cenet database Abstract - Japanese Patent Application No. 2002-060641, published February 26, 2002				
	cc	esp@cenet database Abstract - Japanese Patent Application No. 2001-291288, published October 19, 2001				
	CD	esp@cenet database Abstract - Japanese Patent Application No. 2001-109165, published July 17, 2002				
	CE	esp@cenet database Abstract - Japanese Patent Application No. 5-323609, published December 7, 1993				
	CF	esp@cenet database Abstract - Japanese Patent Application No. 5-181277, published July 23, 1993				

Examiner	Date	
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Substitute for form 1449A/B/PTO			<u>-</u>	Complete if Known		
				Application Number	Not Yet Assigned	
II.	<b>NFORMATIO</b>	N DI	SCLOSURE	Filing Date	Concurrently Herewith	
S	STATEMENT BY APPLICANT			First Named Inventor	Shu Ogawa	
				Art Unit	N/A	
	(Use as many s	heets as	s necessary)	Examiner Name	Not Yet Assigned	
Sheet	2	of	2	Attorney Docket Number	07200/056001	

CG	esp@cenet database Abstract - Japanese Patent Application No. 8-044061, published February 16, 1996
СН	esp@cenet database Abstract - Japanese Patent Application No. 10-301268, published November 13, 1998
CI	esp@cenet database Abstract - Japanese Patent Application No. 11-167205, published June 22, 1999
C1	esp@cenet database Abstract - Japanese Patent Application No. 10-021589, published January 23, 1998
СК	esp@cenet database Abstract - Japanese Patent Application No. 2001-243662, published September 7, 2001
ÇL	esp@cenet database Abstract - Japanese Patent Application No. 5-7066546, published April 22, 1982
СМ	esp@cenet database Abstract - Japanese Patent Application No. 8-305036, published November 22, 1996
CN	esp@cenet database Abstract - Japanese Patent Application No. 5-107769, published April 30, 1993
СО	esp@cenet database Abstract - Japanese Patent Application No. 7-057995, published March 3, 1995
СР	esp@cenet database Abstract - Japanese Patent Application No. 4-077746, published March 11, 1992

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.



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Application No. (if known): Not Yet Assigned

Attorney Docket No.: 07200/056001

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IDS (Citation) by Applicant (32 Refs)